

NAUPA Negative Report Survey 4-13-09

STATE	Required	Format accepted	Comments
Alabama	YES	State form	
Alaska	NO		
Arizona	NO	State form	Not required, but encouraged.
Arkansas	NO		
California	NO		
Colorado	NO		
Connecticut	NO		
Delaware	YES	Cd,diskette, or state form	Required for financial institutions only.
District of Columbia	YES	On-line, cd,diskette, or state form	
Florida	YES	On-line or state form	
Georgia	YES	State form	
Hawaii	NO		
Idaho	NO		
Illinois	YES	State form	
Indiana	YES	State form and on-line	
Iowa	NO		
Kansas	NO	Cd,diskette, or state form	Not required, but encouraged. Also will accept letter on company letterhead.
Kentucky	YES	State form	
Louisiana	NO	Cd,diskette, or state form	Not required, but encouraged. Also will accept letter on company letterhead.
Maine	YES	Cd,diskette, or state form	Required only for holders incorporated or located in Maine. Not required if report negative 3 years in a row.
Maryland	YES	Cd,diskette, or state form	Required only from insurance, utility and financial corps.
Massachusetts	YES	State form	Also will accept letter on company letterhead. Must be signed by an officer and notarized .
Michigan	NO		
Minnesota	YES	Cd,diskette, or state form	Required for holders who receive state verification mailer.
Mississippi	YES	Cd,diskette, or state form	
Missouri	NO		
Montana	NO		
Nebraska	NO	Letter or e-mail	Not required, but encouraged.
Nevada	YES	State form and on-line	
New Hampshire	NO		Not required, but encouraged.
New Jersey	YES	State form	
New Mexico	YES	Cd,diskette, or state form	

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New York	YES*	Paper, but flexible as to form	*Only for banks, insurance companies, utilities and broker/dealers. Must be signed and notarized.
North Carolina	NO		
North Dakota	NO	Cd, diskette or on-line	Not required, but encouraged.
Ohio	YES	State form and on-line	Accepts forms generated by most reporting software vendors.
Oklahoma	NO		
Oregon	YES	On-line, cd,diskette, or state form . Also will accept letter on company letterhead signed by an officer.	Required if first time reporter OR if holder information changed since last report. If holder has been audited must file for five years.
Pennsylvania	YES	Cd,diskette, or state form	
Rhode Island	YES	State form	Also will accept letter on company letterhead.
South Carolina	NO		
South Dakota	NO		
Tennessee	YES*	State form, NAUPA file, or Letter on company letterhead.	*Only required if requested.
Texas	NO		
Utah	YES*	State form	*Only if requested
Vermont	NO		
Virginia	NO		
Washington	YES	Cd,diskette, or state form	
West Virginia	NO	On-line	Not required, but encouraged.
Wisconsin	YES	State form	
Wyoming	NO		